

Euler's Pentagonal Number Theorem, An Introduction to Integer Partitions

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AIMS Summer School

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Opening Comments

- Thanks to Jon for inviting me to give this talk.
- Thank YOU for coming to my talk to learn more about integer partitions.

Integer Partitions

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The summands are called **parts**.

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We define the partition function $p(n)$ to count the number of partitions of n .

n	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
$p(n)$	1	1	2	3	5	7	11	15	22	30	42	56	77	101	135

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Question. Is there a nice formula for $p(n)$?

Theorem (Rademacher, 1937)

The following series converges to $p(n)$:

$$p(n) = \frac{1}{\pi\sqrt{2}} \sum_{k=1}^{\infty} A_k(n) \sqrt{k} \cdot \frac{d}{dn} \left(\frac{1}{\sqrt{n - \frac{1}{24}}} \sinh \left[\frac{\pi}{k} \sqrt{\frac{2}{3} \left(n - \frac{1}{24} \right)} \right] \right)$$

where

$$A_k(n) = \sum_{0 \leq m < k, (m,k)=1} \exp \left(\pi i \left(s(m, k) - 2n \frac{m}{k} \right) \right)$$

with

$$s(m, k) = \frac{1}{4k} \sum_{j=1}^{k-1} \cot \left(\frac{\pi j}{k} \right) \cot \left(\frac{\pi jm}{k} \right)$$

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A surprisingly useful combinatorial tool is the **partition generating function**:

$$\sum_{n=0}^{\infty} p(n)x^n = 1 + x + 2x^2 + 3x^3 + 5x^4 + 7x^5 + 11x^6 + 15x^7 + \dots$$

Generating Functions

Consider the infinite product

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Each partition contributes +1 to a count of partitions of n .

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Generating Functions

Each factor is a geometric series

$$\prod_{n=1}^{\infty} (1 + x^n + x^{2n} + x^{3n} + x^{4n} + \dots) = \prod_{n=1}^{\infty} \frac{1}{1 - x^n}$$

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$$\left(\sum_{n=1}^{\infty} p(n)x^n \right)^{-1} = \prod_{n=1}^{\infty} (1 - x^n)$$

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Question. What is that denominator? Partitions of n into distinct parts!

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Generating Functions

$$\left(\sum_{n=1}^{\infty} p(n)x^n \right)^{-1} = \prod_{n=1}^{\infty} (1 - x^n)$$

After expanding the product, each partition of n into k distinct parts will correspond to a term $(-1)^k x^n$.

$$\prod_{n=1}^{\infty} (1 - x^n) = \sum_{n=0}^{\infty} (d_e(n) - d_o(n))x^n$$

where $d_e(n)$ (resp. $d_o(n)$) count the number of partitions of n into an even (resp. odd) number of distinct parts.

Distinct Parts and Ferrers Diagrams

Review. By translating into the world of infinite products, we discovered that the reciprocal of the partition generating function is a new generating function which detects a balance of distinct partitions using the parity of the number of parts.

$$\left(\sum_{n=1}^{\infty} p(n)x^n \right)^{-1} = \sum_{n=1}^{\infty} (d_e(n) - d_o(n))x^n$$

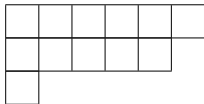
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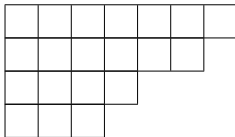
$$\left(\sum_{n=1}^{\infty} p(n)x^n \right)^{-1} = \sum_{n=1}^{\infty} (d_e(n) - d_o(n))x^n$$

To study partitions into distinct parts, we will study their Ferrers diagrams.

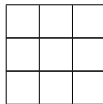
6+5+1



7+6+4+3

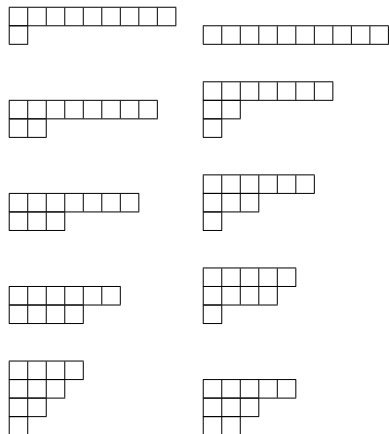


3+3+3



Distinct Parts and Ferrers Diagrams

Here are all the Ferrers diagrams for the partitions of 10 into distinct parts.



The left (resp. right) column contains all the partitions of 10 into distinct parts where the number of parts is even (resp. odd).

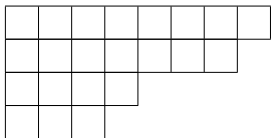
$$d_e(10) - d_o(10) = 0$$

Next, we will see that this difference is almost always zero and we will determine when it is not zero.

We will achieve this by systematically and uniquely pairing these partitions.

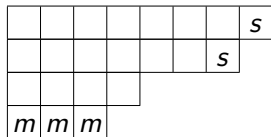
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Consider an arbitrary partition into distinct parts. (Ex. $8+7+4+3$)



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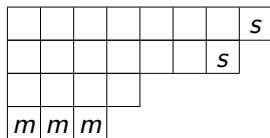
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Let m to be the smallest part of the partition and let s be the right-most diagonal ($m = 3, s = 2$).

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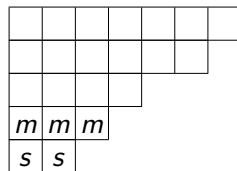
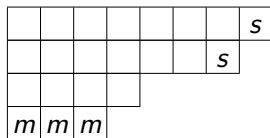


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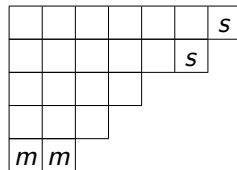
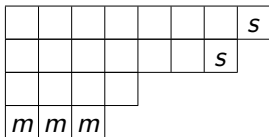


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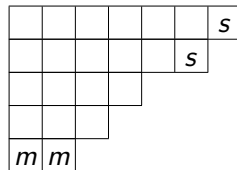
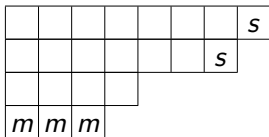


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Does this map always pair partitions into distinct parts with opposing parities?

Distinct Parts and Ferrers Diagrams

Example. This map fails for the partitions $5+4+3$ and $6+5+4$.

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				s
			s	
m	m	x		

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				<i>s</i>
			<i>s</i>	
<i>m</i>	<i>m</i>	<i>x</i>		

<i>m</i>	<i>m</i>		
<i>x</i>	<i>s</i>	<i>s</i>	

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				<i>s</i>
			<i>s</i>	
<i>m</i>	<i>m</i>	<i>x</i>		

<i>m</i>	<i>m</i>		
<i>x</i>	<i>s</i>	<i>s</i>	

				<i>s</i>	<i>x</i>
			<i>s</i>	<i>m</i>	
			<i>m</i>		

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				<i>s</i>
			<i>s</i>	
<i>m</i>	<i>m</i>	<i>x</i>		

					<i>s</i>
				<i>s</i>	
<i>m</i>	<i>m</i>	<i>m</i>	<i>x</i>		

<i>m</i>	<i>m</i>		
<i>x</i>	<i>s</i>	<i>s</i>	

				<i>s</i>	<i>x</i>
			<i>s</i>	<i>m</i>	
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			<i>s</i>	
<i>m</i>	<i>m</i>	<i>x</i>		

					<i>s</i>
				<i>s</i>	
<i>m</i>	<i>m</i>	<i>m</i>	<i>x</i>		

<i>m</i>	<i>m</i>		
<i>x</i>	<i>s</i>	<i>s</i>	

<i>m</i>	<i>m</i>	<i>m</i>		
<i>x</i>	<i>s</i>	<i>s</i>		

				<i>s</i>	<i>x</i>
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				<i>s</i>
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<i>m</i>	<i>m</i>	<i>x</i>		

					<i>s</i>
				<i>s</i>	
<i>m</i>	<i>m</i>	<i>m</i>	<i>x</i>		

<i>m</i>	<i>m</i>			
<i>x</i>	<i>s</i>	<i>s</i>		

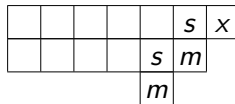
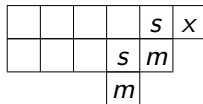
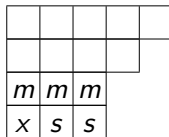
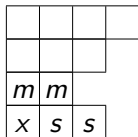
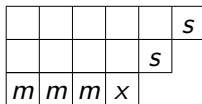
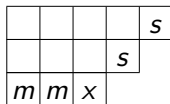
<i>m</i>	<i>m</i>	<i>m</i>			
<i>x</i>	<i>s</i>	<i>s</i>			

				<i>s</i>	<i>x</i>
			<i>s</i>	<i>m</i>	
			<i>m</i>		

					<i>s</i>	<i>x</i>
				<i>s</i>	<i>m</i>	
				<i>m</i>		

Distinct Parts and Ferrers Diagrams

Example. This map fails for the partitions $5+4+3$ and $6+5+4$.



We will have a problem if and only if:

- the bottom-right-most cell is counted by m and s , and
- $m = s$ or $m = s + 1$.

Hence, $d_e(n) - d_o(n) = 0$ unless n has a partition into distinct parts whose Ferrers diagram has this shape. If this happens, the parity balance will be off by 1.

Pentagonal Numbers

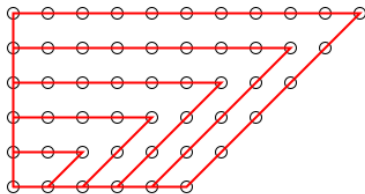
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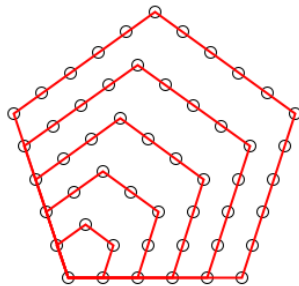
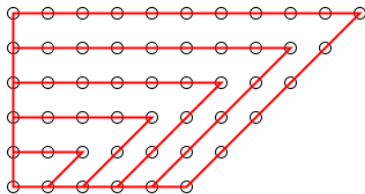
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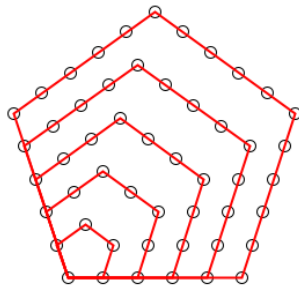
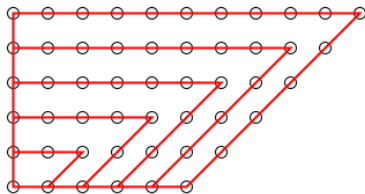
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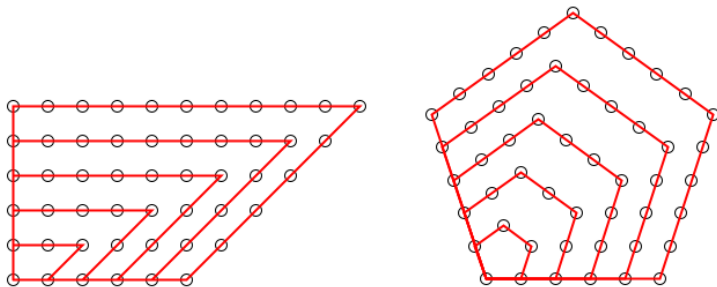
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Then k -th pentagonal numbers are $\frac{k(3k-1)}{2}$ and $\frac{k(3k+1)}{2}$ (the $m = s$ and $m = s + 1$ cases respectively).

Pentagonal Numbers

What exactly is this shape of Ferrers diagram? A pentagon!



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Pentagonal Numbers

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Theorem (Pentagonal Number Theorem)

For $|x| < 1$,

$$\begin{aligned} \prod_{n=1}^{\infty} (1 - x^n) &= \sum_{k=1}^{\infty} (-1)^k (x^{k(3k-1)/2} + x^{k(3k+1)/2}) \\ &= 1 - x - x^2 + x^5 + x^7 - x^{12} - x^{15} + x^{22} + x^{26} + \dots \end{aligned}$$

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After some series manipulation, we can arrive at the desired recursive formula for $p(n)$.

$$\begin{aligned} 1 &= \left(\sum_{m=0}^{\infty} a_m x^m \right) \left(\sum_{n=0}^{\infty} p(n) x^n \right) \\ &= \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} a_m p(n) x^{m+n} \\ &= \sum_{m=0}^{\infty} \sum_{k=m}^{\infty} a_m p(k-m) x^k \\ &= \sum_{k=0}^{\infty} x^k \sum_{m=0}^k a_m p(k-m) \end{aligned}$$

Pentagonal Numbers

$$1 = \sum_{k=0}^{\infty} x^k \sum_{m=0}^k a_m p(k-m)$$

Matching coefficients, we see $1 = p(0)$ and for $k \geq 1$,

$$0 = \sum_{m=0}^k a_m p(k-m).$$

Pulling the first term off the sum,

$$p(n) = \begin{matrix} & +p(n-1) & +p(n-2) & -p(n-5) & -p(n-7) & +p(n-12) & + \dots \end{matrix}$$

$$\prod_{n=1}^{\infty} (1 - x^n) = 1 \quad \begin{matrix} -x & & -x^2 & & +x^5 & & +x^7 & & -x^{12} & + \dots \end{matrix}$$

Pentagonal Numbers

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$$p(n) = +p(n-1) + p(n-2) - p(n-5) - p(n-7) + p(n-12) + \dots$$

$$\prod_{n=1}^{\infty} (1 - x^n) = 1 - x - x^2 + x^5 + x^7 - x^{12} + \dots$$

Closing Remarks

- The study of integer partitions is an approachable subject.
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Exercises. Define the *conjugation map* on partitions by reflecting their Ferrers diagram across the diagonal.

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- $p(n \mid \text{self-conjugate}) = p(n \mid \text{distinct odd parts})$

Show this one in two ways. Describe a bijection between the sets, and show their generating functions are the same.

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Thank you.